



Audit Summary Report

A.M.P.E.R.E. Deutschland GmbH

Third-party Audit

according to EU Regulation No. 2017/821 and OECD Due Diligence Guidance

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Company name	A.M.P.E.R.E. Deutschland GmbH
Address	Emil-von-Behring-Straße 7 - 9, 63128 Dietzenbach
Audit Date	24.07.2024
Audit Team	M.Sc. Raoul Mancke, M.Sc. Busra Sahin
Product	Tin
Audit Period	2021-2023

I. Audit Scope

The audit covers all activities, procedures and systems of the Union importer to implement due diligence in the supply chain in relation to minerals or metals, including the Union importer's management system, risk management and disclosure of information in accordance with Articles 4, 5 and 7 of Regulation (EU) 2017/821.

II. Audit Objectives

The aim of the audit is to determine whether the due diligence obligations of the Union importer in the supply chain in accordance with Articles 4, 5 and 7 of Regulation (EU) 2017/821 are fulfilled and complied with.

III. Audit activities

The audit includes the preparation of the audit, the collection of relevant documents, interviews with management and employees, site visits and observations. The sample selection is based on a risk-based approach, representativeness, materiality and professional judgement. 100% of the smelters were included in the sample for this audit due to the small number of smelters.

IV. Audit principles

The audit is conducted in accordance with the audit principles of independence, competence and accountability as set out in the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas.

V. Audit Results

The Union importer's supplier risk management to fulfil the due diligence obligations in the supply chain are in accordance with Articles 4 to 7 of Regulation (EU) 2017/821 of the European Parliament and of the Council, with the exception of one deviation with regard to the grievance mechanism.

Recommendation of the audit team

Based on the audit conducted, the results and this report, the audit team makes the following recommendation:

As part of the audit, all activities, processes and systems of the Union importer for the fulfilment of due diligence obligations in the supply chain of tin, tantalum, tungsten, their ores and gold from conflict-affected and high-risk areas in accordance with EU 2017/821 were examined. All relevant suppliers were audited as part of the on-site audit. In addition, the supply chain management was audited in accordance with the requirements of EU 2017/821. The company identifies and assesses the risks along the supply chain. One deviation was identified with regard to the grievance mechanism. In addition, several improvement potentials were identified, which are presented in the audit report. These audit findings do not release the company from its responsibility to ensure fulfilment and ongoing review of the requirements.

Kiwa Lead Auditor

Name: M.Sc. Raoul Mancke

Report date: 05.08.2024

Revision date: 07.08.2024

Signature:

Raoul Mancke

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